

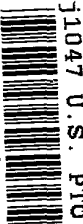
03-15-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(For new Non-provisional applications under 37 CFR 1.53(b))

03/14/01



Attorney Docket No.: TEL4597P0061US

First Named Inventor: Philip J. Lin

Express Mail Label No. EL 855 408 414 US

jc997 U.S. PTO
09/808331Box PATENT APPLICATION
Hon. Commissioner For Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a new utility patent application of inventor(s): **Philip J. Lin** and entitled: **Signal Interconnect Incorporating Multiple Modular Units**. For purposes of publication, this patent application is assigned to: **Tellabs Operations, Inc.**

Application Elements:

1. ☐ Applicant claims small entity status (See 37 CFR 1.27.)
2. ☒ Specification containing 16 pages.
3. ☒ Drawings: four Sheets of ☐ formal drawings ☒ informal drawings
4. ☒ Oath or Declaration
 - a. ☒ An executed declaration or oath for the utility patent application including a power of attorney,
 - b. ☐ An unexecuted declaration or oath for the utility patent application including a power of attorney;
 - c. ☐ Copy from a prior application (37 CFR 1.63(d), for continuation/divisional with No. 17 completed.
 - i. ☐ Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Application Data Sheet (See 37 CFR 1.76.)
6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix).
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary).
 - ☐ Computer Readable Form (CFR)
 - ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - ☐ Statements verifying identity of above copies.

Accompanying Application Parts:

8. ☒ Assignment Papers (cover sheet, document(s) and requisite fee).

9. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)
☐ Power of Attorney
10. ☐ English Translation document (if applicable)
11. ☒ Information Disclosure Statement (IDS), including PTO-1449
☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Postcard for PTO Mail Room Date Stamp (should be specifically itemized).
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed).
15. ☐ Request and Certification For Nonpublication Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

16. ☒ Other This application claims the benefit of the earlier filing date of Provisional Application Ser. No. 60/222,352, filed August 1, 2000

17. ☐ **If Continuing Application**, check appropriate box and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____, filed _____.
 Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4c, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Fee Calculation The filing fee has been calculated as shown below:

Small Entity						Large Entity	
For	No. Filed	No. Allowed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee					\$355.00		\$710.00
Total Claims	56	- 20 =	36	x \$9.00	\$	x \$18.00	\$648.00
Indep. Claims	8	- 3 =	5	x \$40.00	\$	x \$80.00	\$400.00
Multiple Dependent Claims Present				+ \$135.00	\$	+ \$270.00	\$
				TOTAL	\$	TOTAL	\$1758.00

18. ☒ A check in the amount of \$1758.00 to cover the filing fee is enclosed.
19. ☐ Please charge my Deposit Account No. 04-1644 in the amount of \$_____.

20. ☒ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 04-1644:
- ☒ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.
 - ☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
 - ☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 04-1644, and the Commissioner is authorized to so charge the Deposit Account.

21. **Correspondence Address:** ROCKEY, MILNAMOW & KATZ, LTD.
Two Prudential Plaza
180 North Stetson, Suite 4700
Chicago, Illinois 60601
Telephone: (312) 616-5400
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Customer Number (01942)
and/or Bar Code Label:



01942

PATENT TRADEMARK OFFICE

Date: March 14, 2001

Attorney's Signature

Paul M. Vargo, Reg. No. 29,116

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this Utility Patent Application Transmittal, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Express Mail Label No.: EL 855 408 414 US

Date of Deposit: March 14, 2001

Typed/Printed Name of Person Signing: Rowena K. Lieber

Signature:

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label Number EL 855 408 414US

Date of Deposit: March 14, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**Box PATENT APPLICATION
Hon. Commissioner for Patents
Washington, D.C. 20231**

Case Number: TEL4597P0061US

Serial No. New application

Filing Date: March 14, 2001

Inventors: Philip J. Lin

For: "Signal Interconnect Incorporating Multiple Modular Units"

Enclosed are the following documents:

Certificate of Mailing by Express Mail; Application Data Sheet; Utility Patent Application Transmittal (3 pages) with our Check No. *40446* for \$1758.00 attached; Specification (8 pages), claims (7 pages - 56 claims), Abstract of the Disclosure (1 page); 4 sheets of informal drawings; Declaration and Power of Attorney executed (*2* pages); Recordation Form cover Sheet Patents Only with two-page Assignment attached and our Check No. *40448* for \$40.00; Information Disclosure Statement with copies of documents attached; and a prepaid post card for acknowledgment of receipt by the mail room of the USPTO.



Signature of person mailing documents